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Applicant : SHENZHEN GROWATT NEW ENERGY TECHNOLOGY CO., LTD

Address : 1ST EAST & 3RD FLOOR OF BUILDING A, BUILDING B, JIAYU INDUSTRIAL PARK, #28,

GUANGHUI ROAD, LONGTENG COMMUNITY, SHIYAN STREET, BAOAN DISTRICT,

SHENZHEN, P.R.CHINA

Sample Name : ShineWiFi-X

Model : ShineWiFi-X

Received Date : Nov. 05, 2018

**Test Period** : Nov. 05, 2018 ~ Nov. 15, 2018

Test Requested : As requested by client, to evaluate the compliance of the submitted sample with EU RoHS

Directive 2011/65/EU Annex II and its amendment (EU) 2015/863 on the restriction of the use

of certain hazardous substances in electrical and electronic equipment.

**Test Method** : 1. Review was performed for the sample and the related Bill of Material

submitted by the Applicant.

a) To refer to the standard IEC 62321-2:2013, review was performed for the samples disjointed from the submitted articles.

- b) To refer to the standard IEC 62321-1:2013, tests were performed for the samples indicated by the photos in this report.
- c) To refer to the standard IEC 62321-3-1:2013: Screening by XRF Spectroscopy.
- d) Wet chemical test
  - 1) to refer to IEC 62321-5:2013, determine the Cadmium, Lead content by ICP-OES.
  - 2) to refer to IEC 62321-4:2013, determine the Mercury content by ICP-OES.
  - 3) to refer to IEC 62321-7-1:2015 & IEC 62321-7-2:2017, determine the Hexavalent Chromium content by UV-VIS.
  - 4) to refer to IEC 62321-6:2015, determine the Polybrominated Biphenyls (PBBs) and Polybrominated Diphenyl Ethers(PBDEs) by GC-MS.
  - 5) to refer to IEC 62321-8:2017, determine the Bis(2-ethylhexyl)phthalate (DEHP), Dibutyl phthalate(DBP), Benzylbutyl phthalate(BBP) and Diisobutyl phthalate(DIBP) by GC-MS.

**Test Results** : Please refer to next page (s).





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#### Conclusion:

Basing on the test results obtained from the homogenous materials, the submitted sample **COMPLIES** with EU RoHS Directive 2011/65/EU Annex II and its amendment (EU) 2015/863.

Signed for and on behalf of EMTEK (Shenzhen) © 0.14/16/1/

Tested by:

Daneng

Test engineer

Reviewed by:

Qu xiang \( \square\)
Technical supervisor

Approved by:

Authorized signatory Nov. 15, 2018





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#### 1. Pb,Cd,Hg,Cr<sup>6+</sup>,PBB,PBDE Test Results:

No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark <sup>(3)</sup>	
		Cd	BL			
		Pb	BL	DDD 115		
1	Shell-black hard plastic	Hg	BL	PBBs: ND PBDEs: ND	Non comment	
		Cr	BL			
		Br	X			
		Cd	BL			
		Pb	BL			
2	Display panel- transparent soft plastic	Hg	BL		Non comment	
	transparent sort plastic	Cr	BL			
		Br	BL			
		Cd	BL			
		Pb	BL			
3	QR code	Hg	BL		Non comment	
		Cr	BL			
		Br	BL			
		Cd	BL			
	LOGO-white printing	Pb	BL			
4		Hg	BL	<u></u>	Non comment	
		Cr	BL			
		Br	BL			
E ALLE		Cd	BL			
		Pb	BL			
5	Label	Hg	BL		Non comment	
		Cr	BL			
STATE L		Br	BL			
		Cd	BL			
		Pb	BL			
6	Barcode Label	Hg	BL	<u></u> -	Non comment	
		Cr	BL			
		Br	BL			
ed Viet		Cd	BL			
		Pb	BL			
7	Key-black soft plastic with silvery printing	Hg	BL		Non comment	
	with silvery printing	Cr	BL			
		Br	BL			





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark <sup>(3)</sup>	
		Cd	BL			
		Pb	BL			
8	Connector-black hard plastic	Hg	BL	PBBs: ND PBDEs: ND	Non comment	
	piddilo	Cr	BL			
		Br	X			
		Cd	BL			
		Pb	BL			
9	Gasket-black soft plastic	Hg	BL	<u> </u>	Non comment	
		Cr	BL			
		Br	BL			
		Cd	BL			
		Pb	BL			
10	Fixed mount-black hard plastic	Hg	BL	PBBs: ND PBDEs: ND	Non comment	
	naru piastic	Cr	BL	T DDES. ND		
		Br	X			
THE BOY	Seal ring-black rubber	Cd	BL			
		Pb	BL			
11		Hg	BL	<u></u> 3	Non comment	
		Cr	BL			
		Br	BL			
		Cd	BL			
		Pb	BL			
12	Green PCB	Hg	BL	PBBs: ND PBDEs: ND	Non comment	
		Cr	BL	1 2220.113		
		Br	Χ			
		Cd	BL			
		Pb	BL			
13	Protective guard- silvery metal	Hg	BL		Non comment	
	Shrory motar	Cr	BL			
		Br	NA			
		Cd	BL			
		Pb	BL			
14	Battery box-black hard plastic	Hg	BL	<u></u>	Non comment	
	ριαστίο	Cr	BL			
		Br	BL			





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark <sup>(3)</sup>	
		Cd	BL			
		Pb	BL			
15	Electrode piece- coppery metal	Hg	BL	<del></del>	Non comment	
		Cr	BL			
		Br	NA			
		Cd	BL			
		Pb	BL			
16	Barcode Label	Hg	BL	<u></u>	Non comment	
		Cr	BL			
		Br	BL			
te Wiet		Cd	BL			
		Pb	BL			
17	Solder-silvery metal	Hg	BL		Non comment	
		Cr	BL			
		Br	NA			
	Heat shrink tubing- black soft plastic	Cd	BL	<u></u>		
		Pb	BL			
18		Hg	BL		Non comment	
		Cr	BL			
		Br	BL			
		Cd	BL			
		Pb	BL			
19	Wire-transparent brown soft plastic	Hg	BL		Non comment	
	brown our plactio	Cr	BL			
		Br	BL			
		Cd	BL			
		Pb	BL			
20	Wire-silvery metal	Hg	BL		Non comment	
		Cr	BL			
VILLE FEET		Br	NA			
F D VEET		Cd	BL			
VIETERY VIETERAL VI		Pb	BL			
21	Tube-silvery metal	Hg	BL		Non comment	
ALERT BAY		Cr	BL			
ALL VIET		Br	NA			





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark <sup>(3)</sup>	
		Cd	BL			
		Pb	BL			
22	Wire-transparent soft plastic	Hg	BL	<del></del> -	Non comment	
	piddio	Cr	BL			
		Br	BL			
		Cd	BL			
		Pb	BL			
23	Core-silvery metal	Hg	BL		Non comment	
		Cr	BL			
		Br	NA			
en Kleit		Cd	BL			
		Pb	BL			
24	Plug-white hard plastic	Hg	BL		Non comment	
		Cr	BL			
		Br	BL			
	Plug-coppery metal	Cd	BL			
		Pb	BL			
25		Hg	BL		Non comment	
		Cr	BL			
		Br	NA			
		Cd	BL			
		Pb	BL			
26	SMD capacitor	Hg	BL		Non comment	
		Cr	BL			
		Br	BL			
		Cd	BL			
		Pb	BL			
27	IC-black solid	Hg	BL		Non comment	
		Cr	BL			
		Br	BL			
EN VIEW		Cd	BL			
		Pb	BL			
28	IC-black solid	Hg	BL		Non comment	
		Cr	BL			
		Br	BL			





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark <sup>(3)</sup>	
		Cd	BL			
		Pb	BL			
29	SMD crystal oscillator	Hg	BL	<del></del> -	Non comment	
		Cr	BL			
		Br	BL			
		Cd	BL			
		Pb	BL			
30	Green epoxy resin plate	Hg	BL	PBBs: ND PBDEs: ND	Non comment	
	piato	Cr	BL	1 2020.112		
		Br	X			
te aviter		Cd	BL			
		Pb	BL			
31	SMD resistor	Hg	BL	Cr <sup>6+</sup> : ND	Non comment	
		Cr	X			
		Br	BL			
	SMD crystal oscillator	Cd	BL	<u>-</u>		
		Pb	BL			
32		Hg	BL		Non comment	
		Cr	BL			
		Br	BL			
		Cd	BL			
		Pb	BL			
33	IC-black solid	Hg	BL		Non comment	
		Cr	BL			
		Br	BL			
		Cd	BL			
		Pb	BL			
34	Crystal oscillator- silvery metal	Hg	BL	<u></u>	Non comment	
	Silvery metar	Cr	BL			
		Br	NA			
		Cd	BL			
		Pb	BL			
35	USB connector-silvery metal	Hg	BL		Non comment	
	metai	Cr	BL			
		Br	NA			





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark <sup>(3)</sup>	
		Cd	BL			
		Pb	BL			
36	USB connector- coppery metal	Hg	BL	<u></u>	Non comment	
		Cr	BL			
		Br	NA			
		Cd	BL			
		Pb	BL			
37	USB connector-blue hard plastic	Hg	BL		Non comment	
	riara piastis	Cr	BL			
		Br	BL			
FERNIEL PARTIE		Cd	BL			
		Pb	BL			
38	USB connector-solder- silvery metal	Hg	BL	<del></del> -	Non comment	
	Silvery motor	Cr	BL			
		Br	NA			
	SMD capacitor	Cd	BL			
		Pb	BL			
39		Hg	BL	 	Non comment	
		Cr	BL			
		Br	BL			
		Cd	BL			
		Pb	BL			
40	IC-black solid	Hg	BL		Non comment	
		Cr	BL			
A WEEK		Br	BL			
Mer Edy		Cd	BL			
		Pb	BL			
41	IC-silvery metal	Hg	BL	<u></u>	Non comment	
		Cr	BL			
		Br	NA			
		Cd	BL			
		Pb	BL			
42	SMD LED	Hg	BL		Non comment	
		Cr	BL			
		Br	BL			





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark <sup>(3)</sup>	
		Cd	BL			
		Pb	BL			
43	SMD diode	Hg	BL	PBBs: ND PBDEs: ND	Non comment	
		Cr	BL			
		Br	X			
		Cd	BL			
		Pb	BL			
44	SMD capacitor	Hg	BL		Non comment	
		Cr	BL			
		Br	BL			
to a viet t		Cd	BL			
		Pb	BL			
45	IC-black solid	Hg	BL		Non comment	
		Cr	BL			
		Br	BL			
	SMD resistor	Cd	BL			
		Pb	BL			
46		Hg	BL	<u></u>	Non comment	
		Cr	BL			
		Br	BL			
		Cd	BL			
LEAT BAY		Pb	BL			
47	IC-black solid	Hg	BL		Non comment	
		Cr	BL			
		Br	BL			
KEEL A		Cd	BL			
		Pb	BL			
48	SMD resistor	Hg	BL	Cr <sup>6+</sup> : ND	Non comment	
		Cr	X			
		Br	BL			
F CALLET E		Cd	BL			
		Pb	BL			
49	Touch switch-black hard plastic	Hg	BL		Non comment	
Killer Bay	riaiu piastic	Cr	BL			
ANTIET A		Br	BL			





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark <sup>(3)</sup>	
		Cd	BL			
		Pb	BL			
50	Touch switch-silvery metal	Hg	BL	Cr6+: Negative	Non comment	
	inotal	Cr	X			
		Br	NA			
A LEFT OF Y		Cd	BL			
		Pb	BL			
51	Touch switch-silvery metal	Hg	BL	Cr <sup>6+</sup> : Negative	Non comment	
		Cr	X			
		Br	NA			
ER BY YER		Cd	BL			
		Pb	BL		Non comment	
52	Touch switch-black hard plastic	Hg	BL			
	nara piaotio	Cr	BL			
		Br	BL			
King to a		Cd	BL			
a la lita		Pb	BL			
53	Touch switch-silvery metal	Hg	BL	<del></del>	Non comment	
	inotal	Cr	BL			
ALER OF		Br	NA			





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#### 2. Phthalates (DBP, BBP, DEHP, DIBP) Test Results:

No.	Sample description	Restricted substances	CAS No.	Results of Wet chem. Test (%)	MDL (%)	Limit (%)
		DBP	84-74-2	ND	0.003	0.1
	Shell-black hard	BBP	85-68-7	ND	0.003	0.1
1	plastic	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
	Display panel-	BBP	85-68-7	ND	0.003	0.1
2	transparent soft plastic	DEHP	117-81-7	ND	0.003	0.1
	piadilo	DIBP	84-69-5	ND	0.003	0.1
E HYLLE		DBP	84-74-2	ND	0.003	0.1
		BBP	85-68-7	ND	0.003	0.1
3	QR code	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
		BBP	85-68-7	ND	0.003	0.1
4	LOGO-white printing	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
Willet E	Label	DBP	84-74-2	ND	0.003	0.1
		BBP	85-68-7	ND	0.003	0.1
5		DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
Talki.		BBP	85-68-7	ND	0.003	0.1
6	Barcode Label	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
Y TELEVIE		DBP	84-74-2	ND	0.003	0.1
	Key-black soft plastic	BBP	85-68-7	ND	0.003	0.1
7	with silvery printing	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
ARE E		DBP	84-74-2	ND	0.003	0.1
	Connector-black hard	BBP	85-68-7	ND	0.003	0.1
8	plastic	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
V. E. E. V.		DBP	84-74-2	ND	0.003	0.1
EL VIETO	Gasket-black soft	BBP	85-68-7	ND	0.003	0.1
9	plastic	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1





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No.	Sample description	Restricted substances	CAS No.	Results of Wet chem. Test (%)	MDL (%)	Limit (%)
		DBP	84-74-2	ND	0.003	0.1
40	Fixed mount-black	BBP	85-68-7	ND	0.003	0.1
10	hard plastic	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
	Seal ring-black	BBP	85-68-7	ND	0.003	0.1
11	rubber	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
	0 000	BBP	85-68-7	ND	0.003	0.1
12	Green PCB	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
	Battery box-black	BBP	85-68-7	ND	0.003	0.1
14	hard plastic	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
VIET EN	Barcode Label	DBP	84-74-2	ND	0.003	0.1
February Service		BBP	85-68-7	ND	0.003	0.1
16		DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
N. C. C. C.		DBP	84-74-2	ND	0.003	0.1
	Heat shrink tubing-	BBP	85-68-7	ND	0.003	0.1
18	black soft plastic	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
	Wire-transparent	BBP	85-68-7	ND	0.003	0.1
19	brown soft plastic	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
	Wire-transparent soft	BBP	85-68-7	ND	0.003	0.1
22	plastic	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
NET BY		DBP	84-74-2	ND	0.003	0.1
	Plug-white hard	BBP	85-68-7	ND	0.003	0.1
24	plastic	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1





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No.	Sample description	Restricted substances	CAS No.	Results of Wet chem. Test (%)	MDL (%)	Limit (%)
		DBP	84-74-2	ND	0.003	0.1
26	CMD conscitor	BBP	85-68-7	ND	0.003	0.1
20	SMD capacitor	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
07	IC block solid	BBP	85-68-7	ND	0.003	0.1
27	IC-black solid	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
00	10.11	BBP	85-68-7	ND	0.003	0.1
28	IC-black solid	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
N. C. C. C.		DBP	84-74-2	ND	0.003	0.1
		BBP	85-68-7	ND	0.003	0.1
29	SMD crystal oscillator	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
VIET EN	Green epoxy resin plate	DBP	84-74-2	ND	0.003	0.1
E BY LEF		BBP	85-68-7	ND	0.003	0.1
30		DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
VIET EN		DBP	84-74-2	ND	0.003	0.1
	O.U.D	BBP	85-68-7	ND	0.003	0.1
31	SMD resistor	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
VIET CON		DBP	84-74-2	ND	0.003	0.1
00	OMD	BBP	85-68-7	ND	0.003	0.1
32	SMD crystal oscillator	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
ARTE BY		DBP	84-74-2	ND	0.003	0.1
20	IC block salid	BBP	85-68-7	ND	0.003	0.1
33	IC-black solid	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
Viet al		DBP	84-74-2	ND	0.003	0.1
	USB connector-blue	BBP	85-68-7	ND	0.003	0.1
37	hard plastic	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1





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No.	Sample description	Restricted substances	CAS No.	Results of Wet chem. Test (%)	MDL (%)	Limit (%)
		DBP	84-74-2	ND	0.003	0.1
20	OMP	BBP	85-68-7	ND	0.003	0.1
39	SMD capacitor	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
40	10 block calls	BBP	85-68-7	ND	0.003	0.1
40	IC-black solid	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
	OMBUED	BBP	85-68-7	ND	0.003	0.1
42	SMD LED	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
	OND W	BBP	85-68-7	ND	0.003	0.1
43	SMD diode	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
Willet Bay	SMD capacitor	DBP	84-74-2	ND	0.003	0.1
Fey Viet		BBP	85-68-7	ND	0.003	0.1
44		DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
A LEASTER V		DBP	84-74-2	ND	0.003	0.1
	10.17	BBP	85-68-7	ND	0.003	0.1
45	IC-black solid	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
40	OMP	BBP	85-68-7	ND	0.003	0.1
46	SMD resistor	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
		DBP	84-74-2	ND	0.003	0.1
47	IC block salid	BBP	85-68-7	ND	0.003	0.1
47	IC-black solid	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
VIGE OF		DBP	84-74-2	ND	0.003	0.1
40	CMD ===i=t==	BBP	85-68-7	ND	0.003	0.1
48	SMD resistor	DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1





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No.	Sample description	Restricted substances	CAS No.	Results of Wet chem. Test (%)	MDL (%)	Limit (%)
49		DBP	84-74-2	ND	0.003	0.1
	Touch switch-black hard plastic	BBP	85-68-7	ND	0.003	0.1
		DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1
52	Touch switch-black hard plastic	DBP	84-74-2	ND	0.003	0.1
		BBP	85-68-7	ND	0.003	0.1
		DEHP	117-81-7	ND	0.003	0.1
		DIBP	84-69-5	ND	0.003	0.1





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Remark: (1) ① Results are obtained by XRF for primary screening, and further wet chemical testing by ICP-OES / AAS (for Cd, Pb, Hg), UV-VIS (for Cr(VI)) and GC/MS (for PBBs, PBDEs) is recommended to be performed, if an inconclusive result was found (as "X" in below table)(unit: mg/kg).

- ② OL = Over Limit, BL = Below Limit, X = Inconclusive, NA= Not Applicable.
- ③ The XRF screening test for RoHS elements The reading may be different to the actual content in the sample be of non-uniformity composition.

Element	Polymer	Metal	Composite Materials		
Cd	BL ≤(70-3 o )< X <(130+3 o )≤ OL	BL ≤(70-3 o )< X <(130+3 o )≤ OL	LOD < X <(150+3 o )≤ OL		
Pb	BL ≤(700-3 o )< X <(1300+3 o ) ≤ OL	BL ≤(700-3 o )< X <(1300+3 o ) ≤ OL	BL ≤(500-3 o )< X <(1500+3 o )≤ OL		
Hg	BL ≤(700-3 σ )< X <(1300+3 σ ) ≤ OL	BL ≤(700-3 σ )< X <(1300+3 σ ) ≤ OL	BL ≤(500-3 o )< X <(1500+3 o )≤ OL		
Br	BL ≤ (300-3 σ )< X	NA	BL ≤ (250-3 o )< X		
Cr	BL ≤ (700-3 σ )< X	BL ≤ (700-3 σ )< X	BL ≤ (500-3 o )< X		

- (2) ① mg/kg = ppm = 0.0001%, ND = Not Detected (Less than method detection limit).
  - ② Unit and Method Detection Limit (MDL) in wet chemical test.

	Test items	Pb	Cd	Hg	Cr6+(Non-metal)	PBBs(single)	PBDEs(single)
1	Unit	mg/kg	mg/kg	mg/kg	mg/kg	mg/kg	mg/kg
, E	MDL	2	2	2	2	5	5

- 3 According to IEC 62321-7-1:2015, result on Cr6+ for metal sample is shown as Positive/Negative.
  - a. The sample is positive for Cr(VI) if the Cr(VI) concentration is greater than  $0.13\mu g/cm^2$ . The sample coating is considered to contain Cr(VI).
  - b. The sample is negative for Cr(VI) if the Cr(VI) concentration is less than  $0.10\mu g/cm^2$ . The sample is considered a non-Cr(VI) based coating.
  - c. The result between is  $0.10~\mu g/cm^2$  and  $0.13\mu g/cm^2$  is considered to be inconclusive-unavoidable coating variations may influence the determination.

Storage condition and production date of the tested sample are unavailable and thus results of Cr<sup>6+</sup> represent status of the sample at the time of testing.

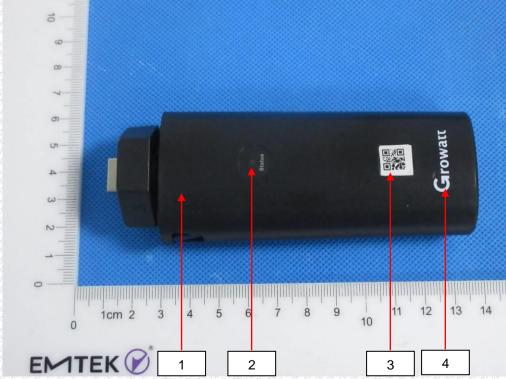
- According to IEC 62321-3-1:2013, this column represents the results of wet chemical test. And "---" means no need to perform wet chemical test, when the XRF screening results are qualified.
- (3) This column represents the exempted decoration of material or other related testing sample's information. And "Non comment" means no note.





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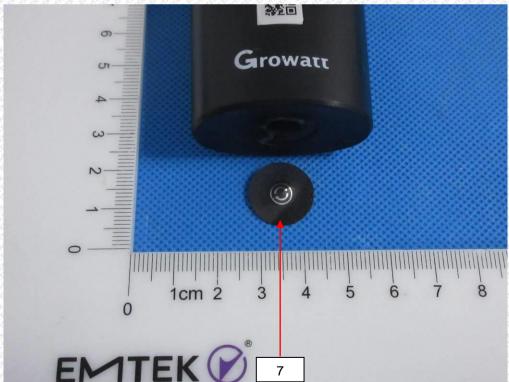






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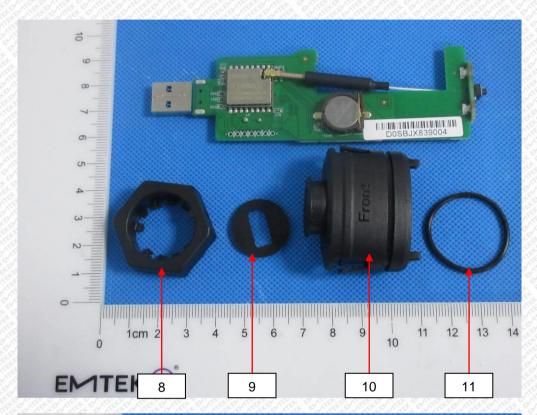


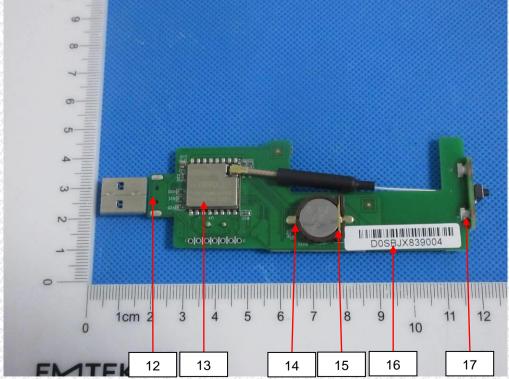






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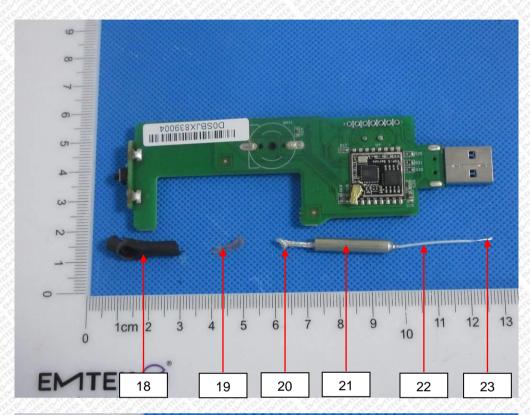


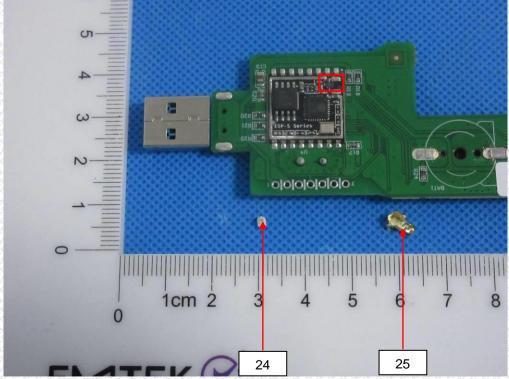






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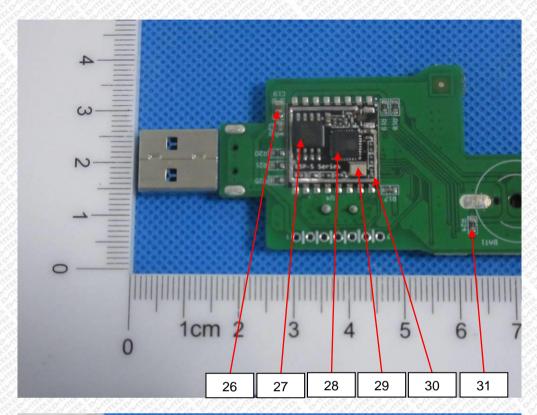


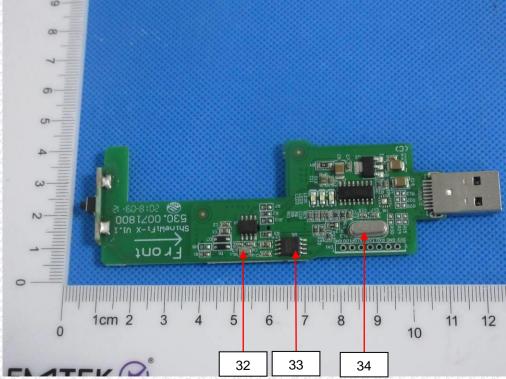






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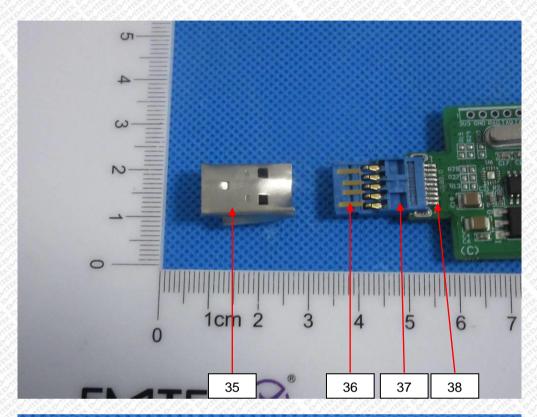


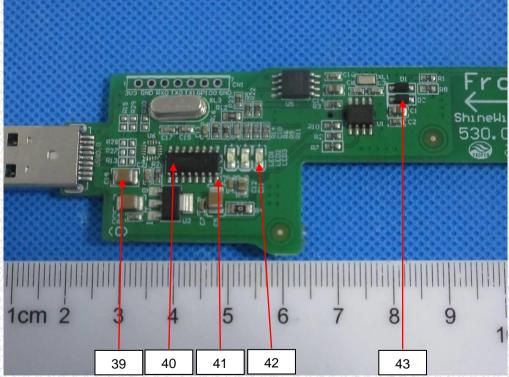






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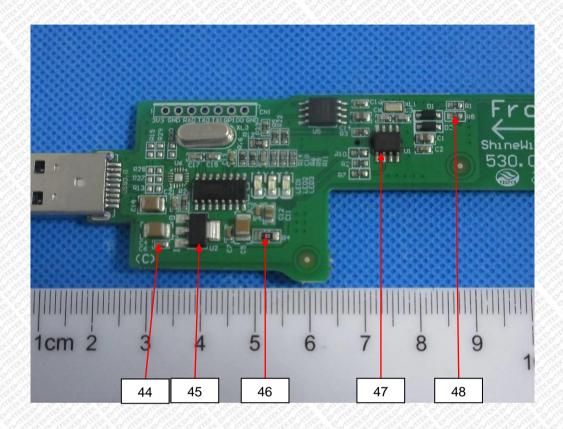








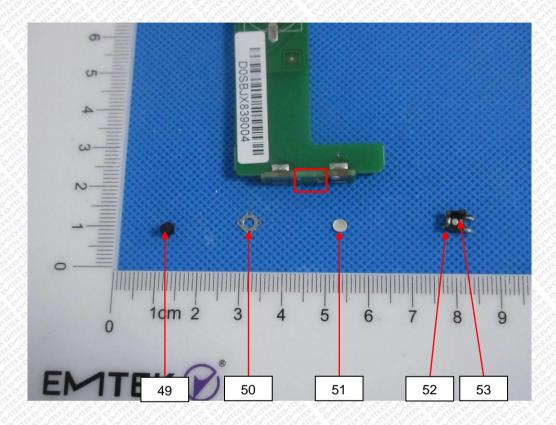
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\*\*\* End of Report \*\*\*





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#### **ANNEX**

#### RESTRICTED SUBSTANCES LIST

Restricted substances and maximum concentration values tolerated by weight in homogeneous materials

Lead (0.1%) Mercury (0.1%)

Cadmium (0.01%) Hexavalent chromium (0.1%)

Polybrominated biphenyls (PBB) (0.1%)

Polybrominated diphenyl ethers (PBDE) (0.1%)

Bis(2-ethylhexyl) phthalate (DEHP) (0.1%)
Dibutyl phthalate (DBP) (0.1%)
Butyl benzyl phthalate (BBP) (0.1%)
Diisobutyl phthalate (DIBP) (0.1%)

#### **EXEMPTION LIST**

- Mercury in single capped (compact) fluorescent lamps not exceeding (per burner):
- 1(a) For general lighting purposes < 30W: 5mg (expires on 31 December 2011; 3.5mg may be used per burner after 31 December 2011 until 31 December 2012; 2.5mg shall be used per burner after 31 December 2012)
- 1(b) For general lighting purposes ≥ 30W and <50W: 5mg (expires on 31 December 2011; 3.5mg may be used per burner after 31 December 2011)
- 1(c) For general lighting purposes ≥ 50W and <150W: 5mg
- 1(d) For general lighting purposes ≥ 150W: 15mg
- 1(e) For general lighting purposes with circular or square structural shape and tube diameter ≤17mm (no limitation of use until 31 December 2011; 7mg may be used per burner after 31 December 2011)
- 1(f) For special purposes: 5mg
- 1(g) For general lighting purposes < 30 W with a lifetime equal or above 20 000 h: 3,5 mg (Expires on 31 December 2017)
- 2(a) Mercury in double-capped linear fluorescent lamps for general lighting purples not exceeding (per lamp):
- 2(a)(1) Tri-band phosphor with normal lifetime and a tube diameter < 9mm (e.g. T2): 5mg (expires on 31 December 2011; 4mg may be used per lamp after 31 December 2011)
- 2(a)(2) Tri-band phosphor with normal lifetime and a tube diameter ≥ 9mm and ≤ 17mm (e.g. T5): 5mg (expires on 31 December 2011; 3mg may be used per lamp after 31 December 2011)
- 2(a)(3) Tri-band phosphor with normal lifetime and a tube diameter > 17mm and ≤ 28mm (e.g. T8): 5mg (expires on 31 December 2011; 3.5mg may be used per lamp after 31 December 2011)
- 2(a)(4) Tri-band phosphor with normal lifetime and a tube diameter > 28mm (e.g. T12): 5mg (expires on 31 December 2012; 3.5mg may be used per lamp after 31 December 2012)
- 2(a)(5) Tri-band phosphor with long lifetime (≥ 25000h): 8mg (expires on 31 December 2011; 5mg may be used per lamp after 31 December 2011)
- 2(b) Mercury in other fluorescent lamps not exceeding (per lamp):
- 2(b)(2) Non-linear halophosphate lamps (all diameters): 15mg (expires on 13 April 2016)
- 2(b)(3) Non-linear tri-band phosphor lamps with tube diameter > 17mm (e.g. T9) (no limitation of use until 31 December 2011; 15mg may be used per lamp after 31 December 2011)
- 2(b)(4) Lamps for other general lighting and special purposes (e.g. induction lamps) (no limitation of use until 31 December 2011; 15mg may be used per lamp after 31 December 2011)
- 3 Mercury in cold cathode fluorescent lamps and external electrode fluorescent lamps (CCFL and EEFL) for special purposes not exceeding (per lamp):
- 3(a) Short length (≤ 500mm) (No limitation of use until 31 December 2011; 3.5mg may be used per lamp after 31 December 2011)
- 3(b) Medium length (> 500m and ≤ 1500mm) (No limitation of use until 31 December 2011; 5mg may be used per lamp after 31
- 3(c) Long length (> 1500mm) (No limitation of use until 31 December 2011; 13mg may be used per lamp after 31 December 2011)
- 4(a) Mercury in other low pressure discharge lamps (per lamp) (no limitation of use until 31 December 2011; 15mg may be used per lamp after 31 December 2011)
- 4(b) Mercury in High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner) in lamps with improved colour rendering index Ra > 60:
- 4(b)-I P ≤ 155W (no limitation of use until 31 December 2011; 40mg may be used per burner after 31 December 2011)
- 4(b)-II 155W < P ≤ 405W (no limitation of use until 31 December 2011; 40mg may be used per burner after 31 December 2011)
- 4(b)-III P > 405W (no limitation of use until 31 December 2011; 40mg may be used per burner after 31 December 2011)
- 4(c) Mercury in other High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner):
- 4(c)-l P≤ 155W (no limitation of use until 31 December 2011; 25mg may be used per burner after 31 December 2011)
- 4(c)-II 155W < P ≤405W (no limitation of use until 31 December 2011; 30mg may be used per burner after 31 December 2011) 4(c)-III P > 405W (no limitation of use until 31 December 2011; 40mg may be used per burner after 31 December 2011)
- 4(d) Mercury in High Pressure Mercury (vapour) lamps (HPMV) (expires on 13 April 2015)
- 4(e) Mercury in metal halide lamps (MH)
- 4(f) Mercury in other discharge lamps for special purposes not specifically mentioned in this Annex
- 4(g) Mercury in hand crafted luminous discharge tubes used for signs, decorative or architectural and specialist lighting and lightartwork, where the mercury content shall be limited as follows: (Expires on 31 December 2018)
  - (a) 20 mg per electrode pair + 0,3 mg per tube length in cm, but not more than 80 mg, for outdoor applications and indoor applications exposed to temperatures below 20 °C;





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#### ANNEX

#### **EXEMPTION LIST**

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	(b) 15 mg per electrode pair + 0,24 mg per tube length in cm, but not more than 80 mg, for all other indoor applications.
5(a)	Lead in glass of cathode ray tubes
5(b)	Lead in glass of fluorescent tubes not exceeding 0.2% by weight
6(a)	Lead as an alloying element in steel for machining purposes and in galvanized steel containing up to 0.35% lead by weight
6(b)	Lead as an alloying element in aluminium containing up to 0.4% lead by weight
6(c)	Copper alloy containing up to 4% lead by weight.
7(a)	Lead in high melting temperature type solders (i.e. lead based alloys containing 85% by weight or more lead)
7(b)	Lead in solders for servers, storage and storage array systems, network infrastructure equipment for switching, signalling,
7(0) 1	transmission, and network management for telecommunications
7(c)-l	Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors, e.g.
7(a) II	piezoelectronic devices, or in a glass or ceramic matrix compound
7(c)-II	Lead in dielectric ceramic in capacitors for a rated voltage of 125V AC or 250V DC or higher
7(c)-III	Lead in dielectric ceramic in capacitors for a rated voltage of less than 125V AC or 250V DC (expires on 1 January 2013 and
7(0) 1)/	after that date may be used in spare parts for EEE placed on the market before 1 January 2013).
7(c)-IV	Lead in PZT based dielectric ceramic materials for capacitors being part of integrated circuits or discrete semiconductors
8(a)	Cadmium and its compounds in one shot pellet type thermal cut-offs (expires on 1 January 2012 and after that date may be
0/h)	used in spare parts for EEE placed on the market before 1 January 2012)
8(b) 9	Cadmium and its compounds in electrical contacts  Hexavalent chromium as an anti-corrosion agent of the carbon steel cooling system in absorption refrigerators up to 0.75% by
9	
9(b)	weight in the cooling solution  Lead in bearing shells and bushes for refrigerant-containing compressors for heating, ventilation, air conditioning and
9(D)	refrigeration (HVACR) applications
11(b)	Lead used in other than C-press compliant pin connector systems (expires on 1 January 2013 and after that date may be used
11(0)	in spare parts for EEE placed on the market before 1 January 2013)
13(a)	Lead in white glasses used for optical applications
13(b)	Cadmium and lead in filter glasses and glasses used for reflectance standards
14	Lead in solders consisting of more than two elements for the connection between the pins and the package of microprocessors
E ET AN	with a lead content of more than 80% and less than 85% by weight (expires on 1 January 2011 and after that date may be used
	in spare parts for EEE placed on the market before 1 January 2011)
15	Lead in solders to complete a viable electrical connection between semiconductor die and carrier within integrated circuit Flip
	Chip packages
17	Lead halide as radiant agent in High Intensity Discharge (HID) lamps used for professional reprography applications
18(b)	Lead as activator in the fluorescent powder (1% lead by weight or less) of discharge lamps when used as sun tanning lamps
.0(2)	containing phosphors such as BSP (BaSi2O5:Pb)
21	Lead and cadmium in printing inks for the application of enamels on glasses, such as borosilicate and soda lime glass
24	Lead in solders for the soldering to machined through hole discoidal and planar array ceramic multilayer capacitors
25	Lead oxide in surface conduction electron emitter displays (SED) used in structural elements, notably in the seal frit and frit ring
29	Lead bound in crystal glass as defined in Annex 1 (Categories 1, 2, 3 and 4) of Council Directive 69/493/EEC
30	Cadmium alloys as electrical/mechanical solder joints to electrical conductors located directly on the voice coil in transducers

32 Lead oxide in seal frit used for making window assemblies for Argon and Krypton laser tubes

used in high-powered loudspeakers with sound pressure levels of 100 dB (A) and more

- Lead in solders for the soldering of thin copper wires of 100 µm diameter and less in power transformers Lead in cermet-based trimmer potentiometer elements
- 37 Lead in the plating layer of high voltage diodes on the basis of a zinc borate glass body
- 38 Cadmium and cadmium oxide in thick film pastes used on aluminium bonded beryllium oxide
- 39 Cadmium in colour converting II-VI LEDs (< 10 μg Cd per mm2 of light- emitting area) for use in solid state illumination or display systems (expires on 1 July 2014)
- Lead in solders and termination finishes of electrical and electronic components and finishes of printed circuit boards used in ignition modules and other electrical and electronic engine control systems, which for technical reasons must be mounted directly on or in the crankcase or cylinder of hand-held combustion engines (classes SH:1, SH:2, SH:3 of Directive 97/68/EC of the European Parliament and of the Council (2)) (Expires on 31 December 2018)

Lead in soldering materials in mercury free flat fluorescent lamps (which e.g. are used for liquid crystal displays, design or





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